# The atomic nucleus: a bound system of interacting nucleons

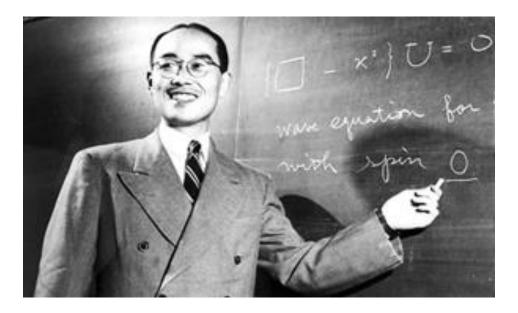
1. Nuclear forces and very light nuclei

2. The nuclear shell model: from independent particle motion towards modern applications

3. Nuclear deformation and collective motion: phenomenological models and self-consistent mean-field theory Lecturing about nuclear forces, one has to go back to the theoretical work of H. Yukawa (1935) who proposed that the NN force with a short range of the order of  $10^{-13}$  could be explained introducing an « unknown » particle called « mesotron » purely on theoretical grounds along the idea 's of QED as the exchange of the photon between electrons, with a mass of ~250 m<sub>e</sub>. Nobel prize in Physics in 1949.

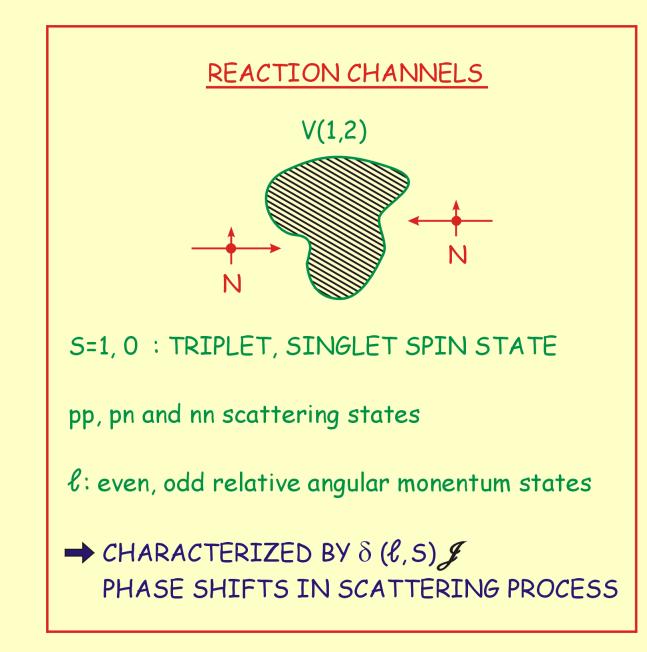
In cosmic ray physics, using cloud chambers, C. Anderson (Caltech), a particle that was thought to be the proposed carrier was discovered This turned out to be wrong: it was the muon.

It was the work performed in the Cosmic Ray group of Cecil Powell at The Physics Institute of the University of Bristol that, using photographic emulsions, exposed at the Pic du Midi, unambigously discovered tracks of the pion. He received the nobel prize in Physics in 1950.





H. Yukawa (Nobel prize 1949) « for his prediction of the existence of mesons on the basis of theoretical work on nuclear forces » C.Powell (Nobel prize 1950) « for his developments of the photographic method of studying nuclear processes and his discoveries regarding mesons made with this method »



$$\begin{bmatrix} -\frac{\hbar^{2}}{2m_{1}}\Delta_{1} - \frac{\hbar^{2}}{2m_{2}}\Delta_{2} + V(1,2) \end{bmatrix} \Psi(1,2) = E \Psi(1,2)$$

$$(J)$$
SEPARATION IN RELATIVE + C.O.M. COÖRDINATES
$$\begin{bmatrix} -\frac{\hbar^{2}}{2m_{r}}\Delta_{r} + V(r) \end{bmatrix} \Psi(r) = E \Psi(r)$$

$$\psi_{k}^{+}(r) = e^{i\vec{k}.\vec{r}} + f(\theta,\phi) \frac{e^{ikr}}{r}$$

$$r \rightarrow \infty$$

$$(J)$$
PARTIAL WAVE EXPANSION
$$\sum_{\ell=0}^{\infty} i^{\ell} (2\ell+1) j_{\ell}(kr)P_{\ell}(\cos\theta)$$

$$(2\ell+1) j_{\ell}(kr)P_{\ell}(\cos\theta)$$

### FULL WAVE FUNCTION $(r \rightarrow \infty)$

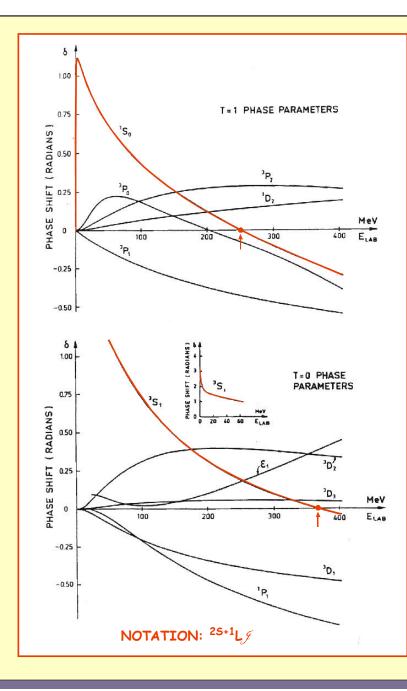
$$\Rightarrow \frac{i}{2k} e^{-i\delta_{\ell}(k)} \left[ \frac{e^{-i(kr-\ell \pi/2)}}{r} - S_{\ell}(k) \frac{e^{i(kr-\ell \pi/2)}}{r} \right]$$

$$S_{\ell}(k) = e^{2i\delta_{\ell}(k)}$$

SIMPLE POTENTIAL V(r) SCATTERING:  $\delta_{\ell}$  (k) MORE GENERAL POTENTIALS:  $\delta(\ell, S) \mathcal{J}(k)$ 

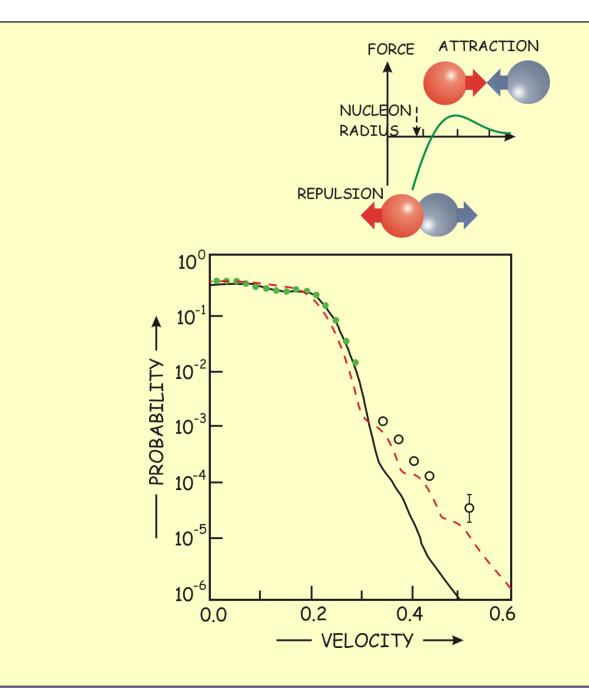
Lab							
energy (MeV)	<sup>1</sup> S <sub>0</sub>	<sup>1</sup> D <sub>2</sub>	$^{1}G_{4}$	<sup>3</sup> P <sub>0</sub>	${}^{3}P_{1}$	<sup>3</sup> P <sub>2</sub>	ε2
5	54.65 ± 0.03	$0.06 \pm 0.00$	$0.00 \pm 0.00$	$1.77 \pm 0.02$	$-1.09 \pm 0.01$	$0.29 \pm 0.01$	$-0.06 \pm 0.00$
10	$54.97 \pm 0.07$	$0.20 \pm 0.00$	$0.00 \pm 0.00$	$3.83 \pm 0.04$	$-2.32 \pm 0.01$	$0.80 \pm 0.02$	$-0.23 \pm 0.00$
15	$53.01 \pm 0.09$	$0.38 \pm 0.00$	$0.01 \pm 0.00$	$5.61 \pm 0.07$	$-3.41 \pm 0.02$	$1.41 \pm 0.03$	$-0.44 \pm 0.00$
20	$50.75 \pm 0.11$	$0.57 \pm 0.01$	$0.03 \pm 0.00$	$7.09 \pm 0.10$	$-4.36 \pm 0.03$	$2.07 \pm 0.04$	$-0.66 \pm 0.0$
25	$48.51 \pm 0.11$	$0.77 \pm 0.01$	$0.05 \pm 0.00$	8.28 ± 0.12	$-5.20 \pm 0.04$	$2.75 \pm 0.04$	$-0.87 \pm 0.0$
30	$46.36 \pm 0.11$	$0.98\pm0.01$	$0.07 \pm 0.00$	$9.23 \pm 0.14$	$-5.95 \pm 0.05$	$3.43 \pm 0.05$	$-1.08 \pm 0.0$
40	42.37 ± 0.12	$1.38 \pm 0.02$	$0.12 \pm 0.00$	$10.54 \pm 0.18$	$-7.28 \pm 0.05$	$4.76 \pm 0.05$	$-1.45 \pm 0.0$
50	$38.78 \pm 0.13$	$1.77 \pm 0.02$	$0.17 \pm 0.00$	$11.25 \pm 0.20$	$-8.45 \pm 0.06$	$6.02 \pm 0.05$	$-1.76 \pm 0.0$
60	$35.55 \pm 0.14$	$2.15 \pm 0.03$	$0.23 \pm 0.00$	$11.51 \pm 0.22$	$-9.51 \pm 0.06$	$7.19 \pm 0.05$	$-2.03 \pm 0.0$
70	$32.62 \pm 0.16$	$2.53 \pm 0.04$	$0.29 \pm 0.00$	$11.45 \pm 0.23$	$-10.51 \pm 0.07$	8.27 ± 0.05	$-2.25 \pm 0.0$
80	$29.94 \pm 0.18$	$2.89 \pm 0.04$	$0.35 \pm 0.00$	$11.13 \pm 0.23$	$-11.47 \pm 0.07$	$9.26 \pm 0.05$	$-2.43 \pm 0.0$
90	27.48 ± 0.20	$3.25 \pm 0.05$	$0.41 \pm 0.01$	$10.62 \pm 0.23$	$-12.39 \pm 0.07$	$10.17 \pm 0.05$	$-2.57 \pm 0.0$
100	$25.21 \pm 0.21$	$3.60 \pm 0.05$	$0.47 \pm 0.01$	$9.97 \pm 0.23$	$-13.29 \pm 0.07$	$10.99 \pm 0.05$	$-2.68 \pm 0.0$
120	$21.08 \pm 0.23$	$4.27 \pm 0.06$	$0.59 \pm 0.01$	$8.36 \pm 0.23$	$-15.02 \pm 0.07$	$12.41 \pm 0.06$	$-2.83 \pm 0.0$
140	$17.38 \pm 0.25$	4.91 ± 0.07	$0.71 \pm 0.02$	$6.49 \pm 0.24$	$-16.70 \pm 0.09$	$13.58 \pm 0.06$	$-2.91 \pm 0.0$
160	$13.96 \pm 0.27$	$5.52 \pm 0.08$	$0.82 \pm 0.03$	$4.50 \pm 0.26$	$-18.33 \pm 0.11$	$14.53 \pm 0.07$	$-2.91 \pm 0.0$
180	$10.71 \pm 0.29$	$6.10 \pm 0.10$	$0.93 \pm 0.03$	$2.44 \pm 0.30$	$-19.91 \pm 0.13$	$15.30 \pm 0.08$	$-2.87 \pm 0.0$
200	$7.58 \pm 0.31$	$6.66 \pm 0.11$	$1.04 \pm 0.04$	$0.38 \pm 0.34$	$-21.46 \pm 0.16$	$15.91 \pm 0.09$	$-2.79 \pm 0.0$
220	$4.51 \pm 0.34$	$7.19 \pm 0.12$	$1.15 \pm 0.05$	$-1.65 \pm 0.40$	$-22.96 \pm 0.20$	$16.39 \pm 0.10$	$-2.68 \pm 0.0$
240	$1.46 \pm 0.38$	$7.69 \pm 0.14$	$1.25 \pm 0.06$	$-3.64 \pm 0.46$	$-24.43 \pm 0.24$	$16.77 \pm 0.12$	$-2.55 \pm 0.1$
260	$-1.57 \pm 0.43$	$8.17 \pm 0.16$	$1.35 \pm 0.07$	$-5.57 \pm 0.53$	$-25.86 \pm 0.27$	$17.04 \pm 0.15$	$-2.39 \pm 0.1$
280	$-4.62 \pm 0.50$	$8.63 \pm 0.17$	$1.45 \pm 0.08$	$-7.43 \pm 0.60$	$-27.26 \pm 0.30$	$17.24 \pm 0.18$	$-2.23 \pm 0.1$
300	$-7.67 \pm 0.59$	$9.07 \pm 0.19$	$1.55 \pm 0.09$	$-9.22 \pm 0.69$	$-28.62 \pm 0.34$	$17.37 \pm 0.21$	$-2.05 \pm 0.2$
320	$-10.75 \pm 0.71$	$9.49 \pm 0.21$	$1.65 \pm 0.10$	$-10.93 \pm 0.76$	$-29.94 \pm 0.37$	$17.44 \pm 0.24$	$-1.86 \pm 0.2$
340	$-13.85 \pm 0.84$	$9.89 \pm 0.23$	$1.74 \pm 0.11$	$-12.57 \pm 0.83$	$-31.23 \pm 0.40$	$17.46 \pm 0.27$	$-1.67 \pm 0.2$
360	$-16.97 \pm 0.99$	$10.28 \pm 0.25$	$1.83 \pm 0.12$	$-14.12 \pm 0.90$	$-32.49 \pm 0.43$	$17.44 \pm 0.31$	$-1.47 \pm 0.2$
380	$-20.11 \pm 1.15$	$10.64 \pm 0.27$	$1.92 \pm 0.13$	$-15.61 \pm 0.97$	$-33.72 \pm 0.46$	$17.38 \pm 0.35$	$-1.27 \pm 0.3$
400	$-23.27 \pm 1.33$	$11.00 \pm 0.29$	$2.01 \pm 0.14$	$-17.02 \pm 1.04$	$-34.91 \pm 0.49$	$17.28 \pm 0.39$	$-1.07 \pm 0.3$

Phase-shift analysis (with error bars) for a laboratory energy interval  $5 \text{ MeV} \le E_{\text{lab}} \le 400 \text{ MeV}$ . The phase shifts are given in degrees (taken from (Mac Gregor et al. 68a))



tg 
$$\delta = -\frac{mk}{\hbar^2} \int_{0}^{\infty} V(r) j_{\ell}^2(kr) r^2 dr$$

#### Born approximation



## GENERAL PROPERTIES OF N-N INTERACTION POTENTIALS

a. Hermitian

- b. Symmetric for permutation symmetry V(1,2) = V(2,1)
- c. Translational invariance  $\rightarrow \vec{r} = \vec{r}_1 \vec{r}_2$
- d. Galilean invariance  $\rightarrow \vec{p} = \frac{1}{2} (\vec{p}_1 \vec{p}_2)$
- e. Parity invariant (strong int.) V  $(\vec{r}, \vec{p}, ...) = V(-\vec{r}, -\vec{p}, ...)$
- f. Time-reversal invariance V ( $\vec{p}$ ,  $\vec{\sigma}$ ,..) = V(- $\vec{p}$ , - $\vec{\sigma}$ ,..)
- g. Rotational invariance  $\Rightarrow r^{2}, p^{2}, L^{2}, \overrightarrow{L}, \overrightarrow{S}, \quad (\overrightarrow{S} = \frac{1}{2} (\overrightarrow{\sigma}^{(1)} + \overrightarrow{\sigma}^{(2)}))$

h. Rotational invariance (charge-isospin)

• QUADRATIC SPIN-ORBIT INTERACTION

$$V_{LS} = V_{LS}(r) \overrightarrow{L}.\overrightarrow{S}$$

• SPIN -ORBIT INTERACTION

$$V_{T}(1,2) = \left[ V_{T_{0}}(r) + V_{T\tau}(r) \quad \tau'_{1} \cdot \vec{\tau}_{2} \right] S_{1,2}$$
  
with  $S_{12} = \frac{3}{r^{2}} \quad (\vec{\sigma}_{1} \cdot \vec{r}) \quad (\vec{\sigma}_{2} \cdot \vec{r}) - \vec{\sigma}_{1} \cdot \vec{\sigma}_{2}$ 

• TENSOR INTERACTION

$$V_{c}(1,2) = V_{c}(r) + V_{\sigma}(r) \vec{\sigma}^{(1)} \cdot \vec{\sigma}^{(2)}$$
  
+  $V_{\tau}(r) \vec{\tau}_{1} \cdot \vec{\tau}_{2} + V_{\sigma\tau}(r) \vec{\sigma}_{1} \cdot \vec{\sigma}_{2} \vec{\tau}_{1} \cdot \vec{\tau}_{2}$ 

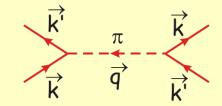
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• CENTRAL INTERACTION

#### **ONE-PION EXCHANGE - IMPORTANT PART OF NN INTERACTION**

• ELASTIC SCATTERING IN MOMENTUM SPACE

$$V^{\pi NN}(\vec{q} = \vec{k}' - \vec{k}) = \frac{g_{\pi}^2}{4M^2} \frac{(\vec{\sigma}_i, \vec{q})(\vec{\sigma}_j, \vec{q})}{\vec{q}^2 + m_{\pi}^2}$$



• POTENTIAL (FOURIER TRANSFORM) IN COORDINATE SPACE

$$V_{\pi}^{OPEP} = \frac{g_{\pi}^{2}}{4M^{2}} \frac{1}{3} m_{\pi} \vec{\tau}_{i} \cdot \vec{\tau}_{j} \left\{ \vec{\sigma}_{i} \cdot \vec{\sigma}_{j} + (1 + \frac{3}{\mu r} + \frac{3}{(\mu r)^{2}}) \right\} \underbrace{(3\vec{\sigma}_{i} \cdot \hat{r} \cdot \vec{\sigma}_{j} \cdot \hat{r} - \vec{\sigma}_{i} \cdot \vec{\sigma}_{j})}_{Tensor part} \underbrace{e^{-\mu r}}_{Tensor part}$$

#### The Hamada-Johnston Potential

$$V = V_C(r) + V_T(r)S_{12} + V_{LS}(r)l \cdot S + V_{LL}(r)L_{12} ,$$

with

$$egin{aligned} S_{12} &\equiv rac{3}{r^2} ig( m{\sigma}_1 \cdot m{r} ig) ig( m{\sigma}_2 \cdot m{r} ig) - m{\sigma}_1 \cdot m{\sigma}_2 \ , \ & L_{12} &\equiv ig( m{\sigma}_1 \cdot m{\sigma}_2 ig) m{l}^2 - rac{1}{2} ig[ ig( m{\sigma}_1 \cdot m{l} ig) ig( m{\sigma}_2 \cdot m{l} ig) + ig( m{\sigma}_2 \cdot m{l} ig) ig( m{\sigma}_1 \cdot m{l} ig) ig] \ , \ &\equiv ig( \delta_{l,J} + m{\sigma}_1 \cdot m{\sigma}_2 ig) m{l}^2 - (m{l} \cdot m{S})^2 \ . \end{aligned}$$

The radial functions are, at large distances, restricted by the condition of approaching the OPEP.

$$\begin{split} V_C(r) &= v_0 \left( \tau_1 \cdot \tau_2 \right) \left( \sigma_1 \cdot \sigma_2 \right) Y(x) \left[ 1 + a_C Y(x) + b_C Y^2(x) \right] \,, \\ V_T(r) &= v_0 \left( \tau_1 \cdot \tau_2 \right) \left( \sigma_1 \cdot \sigma_2 \right) Z(x) \left[ 1 + a_T Y(x) + b_T Y^2(x) \right] \,, \\ V_{LS}(r) &= g_{LS} v_0 Y^2(x) \left[ 1 + b_{LS} Y(x) \right] \,, \\ V_{LL}(r) &= g_{LL} v_0 \frac{Z(x)}{x^2} \left[ 1 + a_{LL} Y(x) + b_{LL} Y^2(x) \right] \,, \\ v_0 &= \frac{1}{3} \frac{f^2}{\hbar c} m_\pi c^2 = 3.65 \,\,\text{MeV} \,, \\ x &= (m_\pi c) / \hbar \cdot r = r / 1.43 \,\,\text{fm} \,, \\ Y(x) &= \frac{1}{x} \exp(-x) \,, \\ Z(x) &= \left( 1 + \frac{3}{x} + \frac{3}{x^2} \right) \cdot Y(x) \,. \end{split}$$

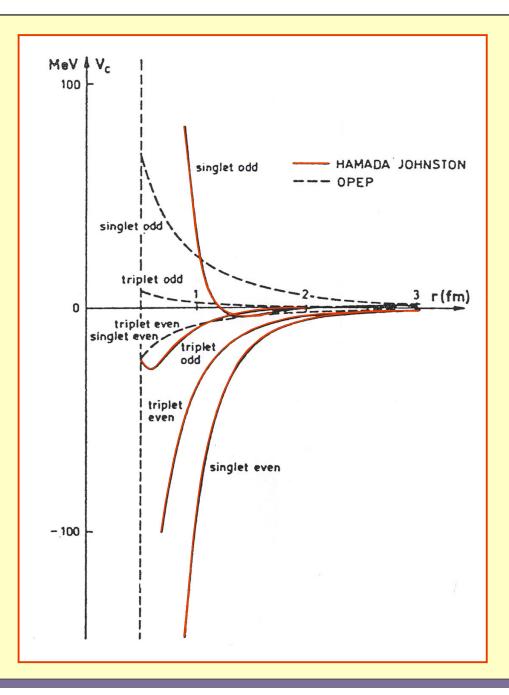
In addition, an infinite repulsion at the radius c = 0.49 fm ( $x_c = 0.343$ ), is assumed.

The optimum, adjusted parameters are given in the table.

	The	values	ot	the	d1İ	terent	t potentials	at
the	hard	core $r$	=	c ha	ve	been	determined	as

	Singlet even	Triplet even	Singlet odd	Triplet odd
$a_C$	8.7	6.0	-8.0	-9.07
$b_C$	10.6	-1.0	12.0	3.38
$a_T$		-0.5	—.	-1.29
$b_T$		0.2	—.	0.55
$q_{LS}$		2.77		7.36
$b_{LS}$		-0.1		-7.1
$q_{LL}$	-0.033	0.1	-0.1	-0.033
a <sub>L.L.</sub>	0.2	1.8	2.0	-7.3
$b_{LL}$	-0.2	-0.4	6.0	6.9

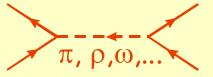
	$V_c$	$V_T$	$V_{LS}$	$V_{LL}$
Singlet, even	-1460			-42
Triplet,even	-207	-642	34	668
Singlet, odd	2371			-6683
Triplet, odd	-23	173	-1570	-1087



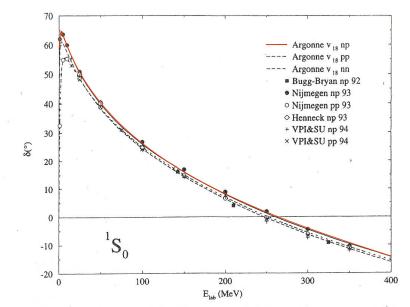
#### TWO-NUCLEON (NN) INTERACTIONS

- Argonne V<sub>8</sub>, V<sub>18</sub> potentials  $\rightarrow$  Coulomb, one-pion exch. (OPEP) + intermediate and short-range  $V_{i,j} = \sum_{p=1,18} v_p(r_{ij}) \hat{O}_{i,j}^p$   $\hat{O}_{i,j}^p = \{1, \overrightarrow{\sigma}_i, \overrightarrow{\sigma}_j, \overrightarrow{S}_{ij}, \overrightarrow{L}, \overrightarrow{S}, ...\} \otimes \{1, \overrightarrow{\tau}_i, \overrightarrow{\tau}_j\}$ Fitted to 4300 nn scattering data
- Bonn potential

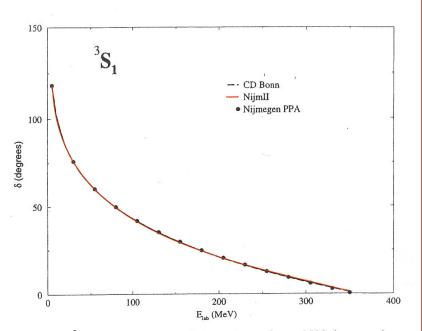
 $\rightarrow$  Based on meson exchange



Effective field theory (EFT)



 ${}^{1}S_{0}$  phases of the Argonne  $v_{18}$  interaction compared to various np and pp phase-shift analyses: Argonne  $v_{18}$ , Wiringa, Stoks, and Schiavilla, 1995; Bugg-Bryan, Bugg and Bryan, 1992; Nijmegen, Stoks *et al.*, 1993; Henneck, Henneck, 1993; VPI-SU, Arndt, Workman, and Pavan, 1994. Figure from Wiringa *et al.*, 1995.



 ${}^{3}S_{1}$  phases from different modern *NN* interaction models: CD Bonn, Machleidt *et al.*, 1996; Nijm II, Stoks, Klomp, *et al.*, 1994; Nijmegan PPA, Stoks, Klomp, *et al.*, 1993. Figure from Wiringa, Stoks, and Schiavilla, 1995.

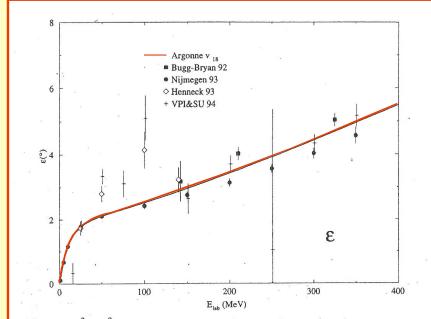


FIG. 4.  ${}^{3}S_{1}$ - ${}^{3}D_{1}$  mixing parameter  $\epsilon_{1}$  from the Argonne  $v_{18}$  interaction and various phase-shift analyses: Argonne  $v_{18}$ , Wiringa, Stoks, and Schiavilla, 1995; Bugg-Bryan, Bugg and Bryan, 1992; Nijmegen, Stoks *et al.*, 1993; Henneck, Henneck, 1993; VPI-SU, Arndt, Workman, and Pavan, 1994. Figure from Wiringa *et al.*, 1995.

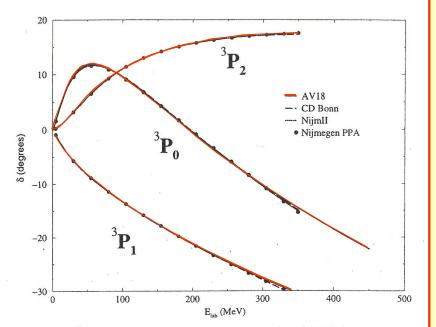


FIG. 6.  ${}^{3}P_{J}$  phases from different modern *NN* interaction models: AV18, Wiringa *et al.*, 1995; CD Bonn, Machleidt *et al.*, 1996; Nijm II, Stoks, Klomp, *et al.*, 1994; Nijmegan PPA, Stoks, Klomp, *et al.*, 1993. Figure from Wiringa, Stoks, and Schiavilla, 1995.

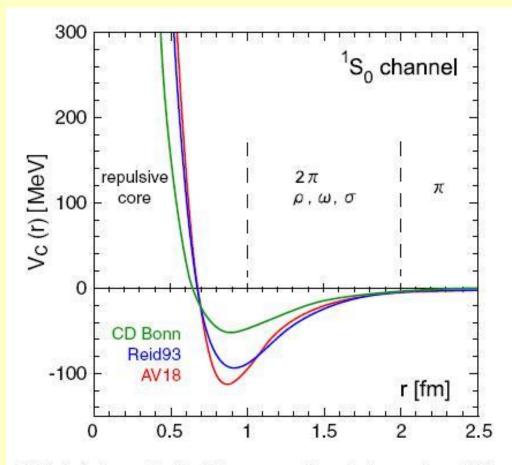
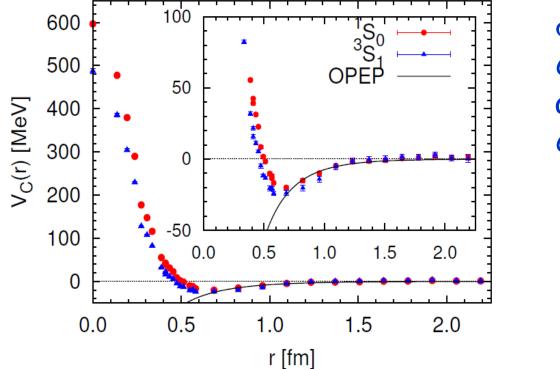


FIG. 1 (color online). Three examples of the modern NN potential in the  ${}^{1}S_{0}$  (spin singlet and *s*-wave) channel: CD-Bonn [17], Reid93 [18], and AV18 [19] from the top at r = 0.8 fm.

#### Nuclear Force from Lattice QCD

N. Ishii<sup>1,2</sup>, S. Aoki<sup>3,4</sup> and T. Hatsuda<sup>2</sup> Phys.Rev.Lett. 99(2007),022001



 $\sigma.\sigma \tau.\tau$  central force calculated by a Lattice QCD calculation

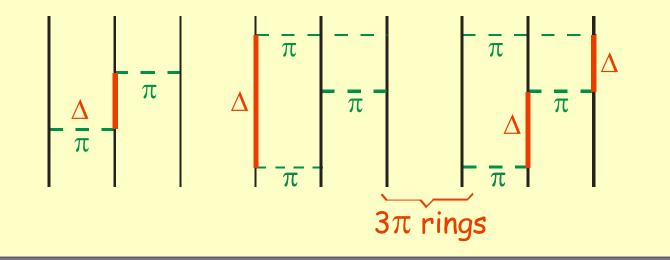
Calculations for tensor and 3-body forces will be great

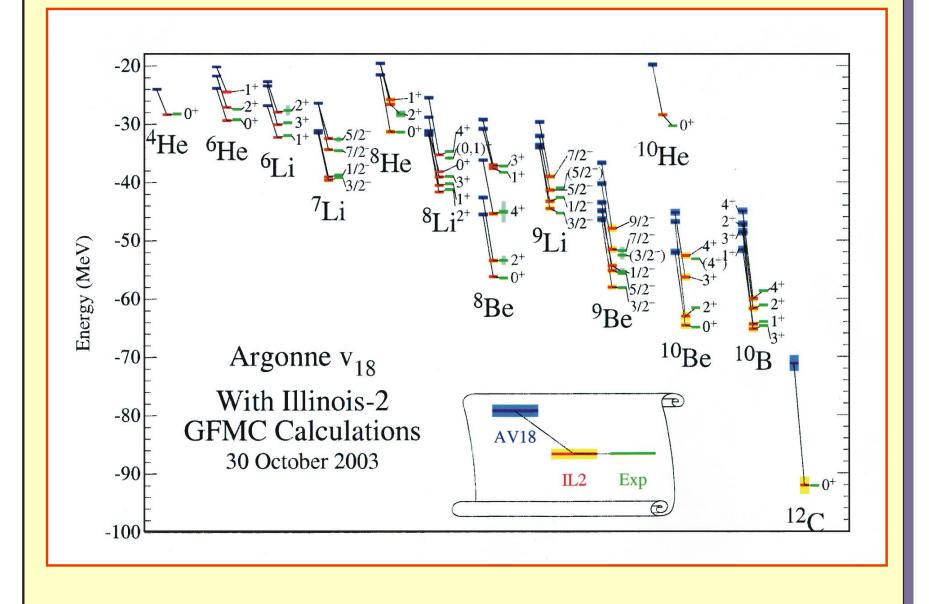
#### THREE-NUCLEON (NNN) INTERACTIONS

First evidence for NNN interaction comes from 'exact' calculations for t and <sup>3</sup>He.

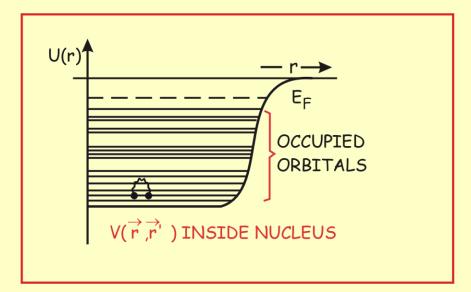
 $\rightarrow$  under-'bound' with NN interactions

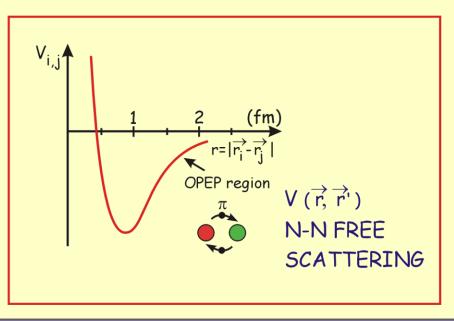
 Systematic evidence from ab-initio calculations (A ≤ 12) (Wiringa, Pieper, Pandharipande, Carlsson, Schiavilla)



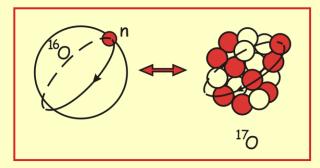


#### Problems using realistic NN forces in nuclei





Concept of effective interaction (operators) active in <u>finite</u> space:



 $\Psi = \sum_{i} a_{i} \Psi_{i} \{ 17 \text{-nucleon coordinates} \}.$  $\hat{O} = \sum_{i=1}^{17} \hat{O} \ (\vec{r}_{i}, \vec{\sigma}_{i}, \vec{\tau}_{i}).$ 

 $\frac{\text{More general}}{(H_{0} + V) \Psi = E\Psi \quad \Psi = \sum_{i=1}^{\infty} \alpha_{i} \Psi_{i}^{(0)}$ FULL SPACE (1,...∞) MODEL SPACE (1,...M)  $\Psi^{M} = \sum_{i=1}^{M} \alpha_{i} \Psi_{i}^{(0)}$ IMPLICIT EQ.  $\langle \Psi^{M} | H^{eff} | \Psi^{M} \rangle = E$ 

## FROM REALISTIC (NN free) TOWARDS EFFECTIVE (NN <u>in</u> nucleus) INTERACTION

Some literature (old papers) :

- B. H. Brandow, Rev. Mod. Phys. 39 (1967),771
- B. L. Scott and S. A. Moszkowski, Ann. Phys. 14 (1961), 107
- H. A. Bethe, B. H. Brandow, A. G. Petscheck, PCR 129 (1963), 225
- T.T.S. Kuo and G.E. Brown, Nucl. Phys. 85 (1966), 40; ibid. A103 (1967), 71
- B. R. Barrett and M. W. Kirson, Adv. Nucl. Phys. 6 (1974) 219 (many more)

Recent:

• D. J. Dean, et al., Progr. Part. Nucl. Phys. 53 (2004), 419